JC12 Rec'd PCT/PTC 2 9 MAR 2002



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: PAHL

Art Unit: To Be Determined

Appl. No.: To Be Determined (U.S. National

Examiner: To Be Determined

Stage of PCT/EP00/09594)

Atty. Docket: 0273-0011

Filed: Herewith

International Filing Date: September 29, 2000

For: The PRV-1 Gene and Use Thereof

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Listed on the accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not

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(U.S. National Stage of PCT/1009594)

Atty. Docket No.: 0273-0011

to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

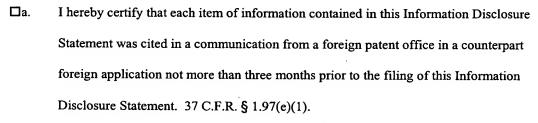
- ∑1. This Information Disclosure Statement is being field within three months of the U.S. filing date
   OR before the mailing date of a first Office Action on the merits. No certification or fee is
   required.
- □2. The Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
  - □a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
  - □b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56 (c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
  - ☐c. Attached is our check no. \_\_\_\_\_ in the amount of \$200.00 in payment of the fee under 37 C.F.R. § 1.17(p).
- □3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered.

  Attached is our check no. \_\_\_\_\_ in the amount of \$130.00 in payment of the fee under 37 C.F.R. §

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(U.S. National Stage of PCT 0/09594)

Atty. Docket No.: 0273-00

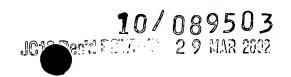


- □b. I hereby certify that no item of information on this Information Disclosure

  Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- □4. Relevance of the non-English language document(s) is discussed in the present specification.
- ∑5. The document(s) was/were cited in a corresponding foreign application. An English language version of the foreign search report is attached for the Examiner's information.
- A concise explanation of the relevance of the non-English language document AC appears below:
   The invention relates to a nucleotide sequence coding for the PRV-1 gene and essentially
   comprising sequence ID No. 1 and to a method for detecting said gene as well as to the
   polypeptide coded by said gene.

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It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449, and to indicate in the official file wrapper of this patent application that the documents have been considered.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 50-0622. A duplicate copy of this paper is enclosed.

Respectfully submitted,

**SHANKS & HERBERT** 

Reg. No. 34,348

TransPotomac Plaza

1033 N. Fairfax Street

Suite 306

Alexandria, VA 22314

## INFORMATION DISCLOSURE CONTINUES (Use several sheets if necession)

ATTY DOCKET NO. 0273-0011

APPLICANT(S)
PAHL (U.S. National

of PCT/EP00/09594)

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FILING DATE

Herewith

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				U.S	S. PATENT	DOCUMENTS		-		•	
*EXAMINER			DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
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		DOCUMENT NUMBER DATE			COUNTRY . C			SUBCLASS TRANSLATION			
	AA	WO98/50552		11-98	WO - P	CT			YES	NO	
	AB	wo	D99/63088	12-99	WO - P	CT					
	AC	WO00/24886		05-00	WO - PCT						
	AD	WO00/36102		06-00	WO - PCT					-	
			OTHER DOCUME	NTS (Includin	g Author	Title, Date, Pertinei	nt Pages, Etc.)				
		Temerinac, S., et al., "Cloning of PRV-1, A Novel Member of the uPAR Receptor Superfamily Which is Overexpressed in Polycythemia Vera," Blood, U.S., W.B. Saunders, Philadelphia, PA, vol. 92, no. 10, Suppl. 01, p. 426A-Abstr1760, (November 1998)									
		AF	Temerinac, S., et al., "Cloning of PRV-1, A Novel Member of the uPAR Receptor Superfamily, Which is Overexpressed in Polycythemia Rubra Vera," Blood, vol.95, no. 8, pp. 2569-2576 (April 2000)								
EXAMINER					DATE CONSIDERED						
*EXAMINE	R: Init	ial if re	ference considered, whether	r or not citation is	in conform	ance with MPEP 609; Dra	aw line through cita	ation if not in co	onformance	and not	

Form PTO-A820 (also form PTO-1449)

considered. Include copy of this form with next communication to applicant.

P09C/REV03

Patent and Trademark Office \* U.S. DEPARTMENT OF COMMERCE

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To Be Determined

APPLICANT(S)
PAHL (U.S. National

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FILING DATE

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To Be Determined

				U.S	S. PATENT DOCUMENTS				
*EXAMINER INITIAL			DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
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				FORE	IGN PATENT DOCUMENTS				
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	1		OTHER DOCUME	l   NTS (Including	g Author, Title, Date, Pertinent F	Pages, Etc.)			
I		International Search Report for PCT Patent Application No. PCT/EP00/09594.							
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*EXAMINER	R: Initial	if ref	erence considered, whethe	r or not citation is i	in conformance with MPEP 609; Draw I	ne through cita	tion if not in co	nformance	and not